FORM PTO-1449

Sheet <u>1</u> of <u>3</u>

LIST OF DOCUMENTS CITED BY APPLICANTS (Use several sheets if necessary)	ATTY. DOCKET NO. 5388RD	SERIAL NO. 09/256,536
	APPLICANT T. TRINH ET AL.	
	FILING DATE February 24, 1999	GROUP 1615- LLT

U. S. PATENT DOCUMENTS

1619

XAMINER		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
RHU C	71 _	Des. 244,991	7/12/77	Weckman et al.	D9	63	
	1	Des. 275,078	8/14/84	Wassergord et al.	D9	300	······································
		Des. 277,978	3/12/85	Bundschuh	D23	17	
		2,544,093	3/6/51	Kilgore	252	1	
		3,074,891	1/22/63	Kulka	252	305	
		3,172,817	3/9/65	Leupold, et al.	167	90	
		3,426,011	2/4/69	Parmerter, et al.	260	209	
		3,436,776	4/8/69	B. W. Davis	9	8	
		3,453,267	7/1/69	Parmerter, et al.	260	209	
		3,453,258	7/1/69	Parmerter, et al.	260	209	-
		3,453,259	7/1/69	Parmerter, et al.	260	209	
		3,453,260	7/1/69	Parmerter, et al.	260	209	
	TT^{-}	3,459,731	8/5/69	Gramera, et al.	260	209	
	\top	3,553,191	1/5/71	Parmerter, et al.	260	209	
	\prod	3,565,887	2/23/71	Parmerter, et al.	260	234	
	\sqcap	3,600,325	8/17/71	Kaufman, et al.	252	305	
	\Box	4,082,223	4/4/78	Nozawa	239	333	
		4,161,288	7/17/79	McKinney	239	333	
		4,265,899	5/5/81	Lewis et al.	424	270	-
		4,267,166	5/12/81	Yajima	424	48	
		4,272,385	6/81	Crete et al.	512	20	
		4,274,560	6/23/81	Cater	222	321	
		4,325,939	4/20/82	Shah	424	55	
		4,434,917	3/6/84	Saito et al.	222	383	
1 1		4,449,987	5/22/84	Lindauer	44	7.5	
1 1		4,469,674	9/4/84	Shah et al.	424	52	
1		4,535,152	8/13/85	Szejtli et al.	536		
1-1-		4,558,821	12/17/85	Tada et al.	239	103	
 	_	4,616,008	10/7/86	Hirai et al.		333	
 	-+	4,638,058	1/20/87	Brandt et al.	514	200	
 		4,678,598	7/7/87	Ogino et al.	536	103	
		4,693,890	9/15/87	Wilson et al.	252	174.17	
1		4,696,676	9/29/87	Wilson et al.	424	78	
1		4,727,824	3/1/88		44	7.5	
+		4,735,347	4/5/88	Ducharme et al.	119	1	
+		4,746,734	5/24/88	Schultz et al.	222	321	
1 	+	4,779,803		Tsuchiyama et al.	536	103	
 	-+-	 	10/25/88	Corsette	239	428.5	M .
┪	_	4,818,524	4/4/89	Gibbs	424	76.1	
1 - 	\dashv	4,819,835	4/11/89	Tasaki	222	383	
1		4,895,279	1/23/90	Schultz	222	321	
╂──┼		4,933,371	6/12/90	Hink et al.	514	739	
+	\dashv	4,938,416	7/3/90	Bertrand et al.	239	1	
1		4,946,672	8/7/90	Gibbs	424	76.1	
+		4,992,198	2/91	Nebashi et al.	252	174.11	
 		5,102,564	4/7/92	Gardlik et al.	252	8.9	
 		5,111,971	5/12/92	Winer	222	95	
1	11_	5,196,200	3/23/93	Wilson et al.	424	411	
L	/ _	5,232,126 5,234,610	8/3/93 8/10/93	Winer	222	95	

Sheet 2 ____ of 3

LIST OF DOCUMENTS CITED BY APPLICANTS
(Use several sheets if necessary)

ATTY. DOCKET NO. 5388RD

SERIAL NO. 09/256,536

APPLICANT T. TRINH ET AL.

FILING DATE February 24, 1999

GROUP 1615

TRASU OM	5,288,423	2/94	Behan et al.	252	174.11
1	5,303,867	4/19/94	Peterson	239	333
	5,420,104	5/95	Holzner	512	2
	5,429,628	7/95	Trinh et al.	604	359
	5,578,563	11/96	Trinh et al.	510	513
	5,593,670	1/97	Trinh et al.	424	76.1
	5,663,134	9/97	Trinh et al.	510	406
	5,668,097	9/97	Trinh et al.	510	293
	5,670,475	9/97	Trinh et al.	510	470
	5,714,137	2/98	Trinh et al.	424	76.4
	5,733,272	3/98	Brunner et al.	604	359
PAN 1	5,783,544	7/98	Trinh et al.	510	293

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
TRHIB ON	53[1978]-41440	4/14/78	Japan	A61K	7/32	Х
,	124452/1983	7/25/83	Japan	A61L	9/01	
	128973/1986	6/17/86	Japan	A61L	9/01	Χ .
	63-164953	7/8/88	Japan			X
	1-256597	10/13/89	Japan			X
	3-170415	7/24/91	Japan	A61K	7/32	X
	3[1991]-284616	12/16/91	Japan	A61K	7/16	X
	89/02698	4/6/89	WO	A01K	1/015	
	91/12029	8/22/91	WO	A61L	9/01	
	941,105	11/6/63	GB	A61	1	
	J53041440-A	4/14/78	Japan	A61K	7/32	Abstract only
	J01256596A	10/13/89	Japan	C11D	03/38	Abstract only
	J02251681A	10/9/90	Japan	D06M	21	Abstract only
	J03170415A	7/24/91	Japan	A61K	7/32	Abstract only
V	JP 2174847-A	7/90	Japan			11
RHU	DE 4008919-A1	9/91	Germany			11

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

TRAN 97	"Semio Activity of Flavor and Gragrance Molecules on Various Insect Species", B. D. Mookherjee, et al., <i>Bioactive Volatile Compounds from Plants</i> , 1993 ACS Symposium Series, pp. 35-48
	Formation of Inclusion Complex between Cyclodextrin and d-Limonese by a Twin Screw Kneader, T. Furuta, et al., Department of Biotechnology Tottori University
	Effects of Water and Alcohol and the formation of Inclusion complexes of D-Limonene and cyclodextrins, T. Furuta, et al., Supramolecular Chemistry, Vol. 1, pp. 321-325
	Studies on the Industrial Production and Application of Cyclodextrins, <i>Denpun Kagaku</i> , Vol. 36, No. 1, pp. 35-42 (1989).
	Application of Cyclodextrins to Foods, Toiletries and Other Products in Japan, H. Hashimoto, Ensuiko Sugar Refining Co.
Rud	Interaction between Preservatives and 2-Hydroxypropyl-β-Cylodextrin, T. Loftsson, et al., <i>Drug Development and Industrial Pharmacy</i> , 18(18), 1477-1484, (1992)

FORM PTO-1449

MAY 1 0 1999 TO

Sheet 3 of 3

O TO FM TO THE TOTAL THE TANK		
LIST OF DOCUMENTS CITED BY APPLICANTS (Use several sheets if necessary)	ATTY, DOCKET NO. 5388RD	SERIAL NO. 09/256,536
	APPLICANT T. TRINH ET AL.	
	FILING DATE February 24, 1999	GROUP 1616
	<u> </u>	1619

Interactions between ρ-hydroxybenzoic acid esters and hydroxypropyl-β-cyclodextrin and their antimicrobial effect against Candida albicans, S. J. Lehner, et al., Department of Pharmaceutics and Biopharmaceutics, Christian Albrecht University, (1992) Powdery Encapsulation of d-Limonese by Kneading with Mixed Powders of β-Cyclodextrin and Maltodextrin at Low Water Content, T. Furuta, et al., Department of Biotechnology Tottori University, 9/21/93 Effect of Hydroxypropyl-β-cyclodextrin on the Antimicrobial Action of Preservatives, S. J. Lehner, et al., J. Pharm. Pharmacol, 1994, 46: 186-191 Optimal Performances with Minimal Chemical Modifications of Cyclodextrins, F. Djedaini-Pilard et al., CEA, Service de Chimie Moleculaire, CE de Saclay, F-91191 Gif sur Yvette, France RNU Cosmetic & Toiletry Formulations, Ernest W. Flick, Noyes Publications, 1984, page 48 **EXAMINER** DATE CONSIDERED EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Patent and Trademark Office - U.S. DEPARTMENT OF COMMERCE

4768cdp.doc

FORM PTO-1449

LIST OF DOCUMENTS CITED BY APPLICANTS (Use several sheets if necessary)	ATTY, DOCKET NO. 5388RD	SERIAL NO. 09/256,536
•	APPLICANT T. TRINH ET AL.	
	FILING DATE February 24, 1999	GROUP 1615 1617
		1619

U. S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
TRUE ON	3,965,014	6/22/76	Giordano et al.	252	8.7	
	4,902,434	2/20/90	Dickerson	252	8.6	7
V	5,211,870	5/18/93	Gilbert et al.	252	120	
TRAH O	5,795,566	8/18/98	Joulain et al.	424	76.1	

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSI YES	ATION NO
		·				
			-			
	·					
 	·					
 	 					
 · · · · · · · · · · · · · · · · · · ·						
		· · · · · · · · · · · · · · · · · · ·				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER DATE CONSIDERED
EXAMINER Relation gran DATE CONSIDERED 08-31-99 8/20/5)
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication
to applicant.

Patent and Trademark Office - U.S. DEPARTMENT OF COMMERCE

File Cory

Sheet 1 ____of 1

FORM PTO-1449

LIST OF DOCUMENTS CITED BY APPLICANTS (Use several sheets if necessary)	ATTY. DOCKET NO. 5388RD	SERIAL NO. 09/256,536
IPE	APPLICANT T. TRINH ET AL.	
	FILING DATE February 24, 1999	GROUP 1615- 1617
Alig 1 8 1999 법		1,10

U. S. PATENT DOCUMENTS

1619

INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
TRULU DU	2,893,958	7/7/59	Phillips	252	1	
	3,872,604	3/25/75	Keller	34	9	
	4,184,985	1/22/80	Scheuermann et al.	252	522	· · · · · · · · · · · · · · · · · · ·
	4,434,086	2/28/84	Hill et al.	252	522	
	4,462,880	7/31/84	Hill et al.	204	161	
	4,488,973	12/18/84	Hill et al.	252	8.6	
	4,840,792	6/20/89	Joulain et al.	424	76.1	
	4,904,639	2/27/90	Hallam	512	4	
	5,135,747	8/4/92	Faryniarz et al.	424	401	
	5,554,588	9/10/96	Behan et al.	512	1	
- PILU	5,795,566	8/18/98	Joulain et al.	424	76.1	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO	
TRAUS	0 032 779 A2	7/29/91	EPO	C09K	3/30	1	
17	0 132 038 A1	1/23/85	EPO	A01N	35/02	:	
	0 386 714 A2	9/12/90	EPO	A61L	9/01		
V	WO 95/15186	6/8/95	PCT	A61L	9/01	-	
TRHIV	618,699	12/1/48	Great Britain	B11A			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER - R. January grap DATE CONSIDERED 08-31-99 876/9						
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication						
to applicant.						

Patent and Trademark Office - U.S. DEPARTMENT OF COMMERCE